

SETTLEMENT AGREEMENT

This agreement made and entered into between the Executive Branch Ethics Commission (hereinafter the "Commission") and Tara Gaines.

WHEREAS, this agreement involves the matter styled *Executive Branch Ethics Commission v. Tara Gaines*, Case No. 08-029;

WHEREAS, the Commission is designated by statute as the agency responsible for enforcing the Executive Branch Code of Ethics, KRS Chapter 11A;

WHEREAS, on January 26, 2009, the Commission alleged facts in an Initiating Order that Tara Gaines violated the Executive Branch Code of Ethics at KRS 11A.020(1)(c) and (d);

WHEREAS, Tara Gaines was at all relevant times mentioned in the Initiating Order a "public servant" as defined in KRS 11A.010(9), and thus is subject to the Executive Branch Code of Ethics; and

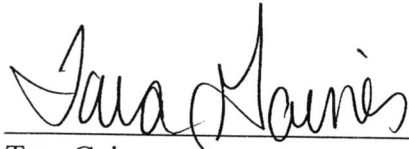
WHEREAS, Tara Gaines indicates her desire to resolve all issues in this action by the execution of a Settlement Agreement.

NOW, THEREFORE, in settlement of the above allegations, the Commission and Tara Gaines agree, pursuant to KRS 11A.100, as follows:

1. Tara Gaines admits that she committed violations of the Executive Branch Code of Ethics at KRS 11A.020(1)(c) and (d) as stated in the Commission's Initiating Order of January 26, 2009.
2. Tara Gaines made restitution to the Department of Unemployment Insurance in the amount of six hundred seventy-one dollars (\$671.00).
3. Tara Gaines agrees to pay the Commission a civil penalty in the total amount of two hundred fifty dollars (\$250.00) on or before April 30, 2009.
4. Tara Gaines agrees that upon a Final Order being issued by the Commission that she waives all rights to any further administrative process or appeal pursuant to KRS 13B.140 thereon.
5. The parties further agree that the acceptance of this Settlement Agreement by both parties, and the fulfillment of its express terms, is in full accord and satisfaction of the herein referenced *Executive Branch Ethics Commission v. Tara Gaines*, Agency Case No. 08-029.

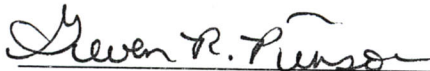
6. This Settlement Agreement constitutes a public reprimand to Tara Gaines, a copy of which will be provided to her former appointing authority pursuant to KRS 11A.100(3)(c).

IN WITNESS THEREOF, the parties have caused this agreement to be executed:



Tara Gaines

4/17/08
Date

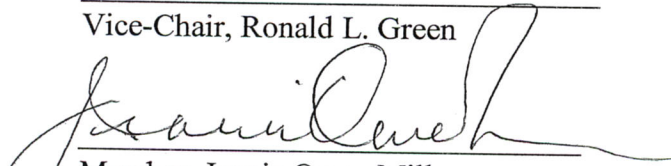
EXECUTIVE BRANCH ETHICS COMMISSION:


Chair, Gwen R. Pinson

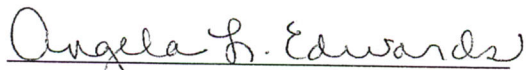
3/27/09
Date


Vice-Chair, Ronald L. Green

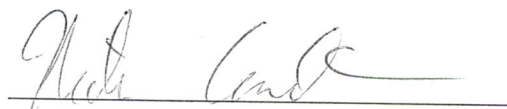
3/27/09
Date


Member, Jeanie Owen Miller

3/27/2009
Date


Member, Angela Edwards

3/27/09
Date


Member, Nicholas Patrick Cambron

3-27-09
Date